

PTOL-413A (08-03)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

### Applicant Initiated Interview Request Form

Application No.: 09/498 801 First Named Applicant: BOYD  
Examiner: SHAFFER Art Unit: 2872 Status of Application: FINAL ACTION

**Tentative Participants:**

(1) R. SCHAFER (2) IAIN A. McINTYRE  
(3) \_\_\_\_\_ (4) \_\_\_\_\_

Proposed Date of Interview: 2/18/04 Proposed Time: 11:00 (AM/PM)

**Type of Interview Requested:**

(1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: \_\_\_\_\_

### Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>RES</u>	<u>1-9, 12-16</u>	<u>UCHIYAMA</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) <u>"</u>	<u>"</u>	<u>SCHERER</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) <u>FINALITY OF OA</u>	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

**Brief Description of Arguments to be Presented:**

1 - FINALITY - CLAIMS NOT PRESENTED BEFORE

2 - PRIOR ART - REVIEW EXAMINER'S UNDERSTANDING OF SCOPE OF PRIOR ART.

An interview was conducted on the above-identified application on \_\_\_\_\_.

**NOTE:**

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

\_\_\_\_\_  
(Applicant/Applicant's Representative Signature)

\_\_\_\_\_  
(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



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**FAX COVER SHEET - URGENT**  
**PLEASE DELIVER TO RECIPIENT IMMEDIATELY**

**OFFICIAL**

Date: February 11, 2004

To: Mr. R. Schafer

Art Unit: 2872

Serial No. 09/498,801:

Fax No.: 703 872 9306

Phone No.: 571 272 2320

Total Pages: 2

From: Iain A. McIntyre

Fax No.: 612.436.9605

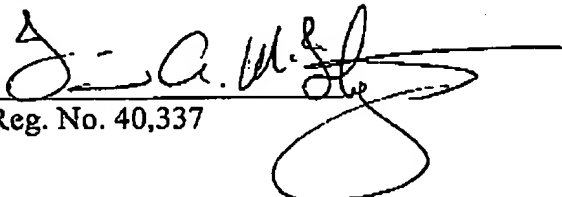
Phone No.: 612 436 9610

Email: imcintyre@ccvl.com

Return Fax To: Iain A. McIntyre

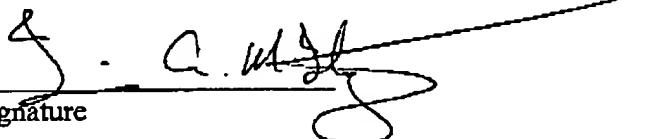
Contents: Applicant Initiated Interview Request Form

Iain A. McIntyre

Signed:   
Reg. No. 40,337

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Facsimile and the paper, as described herein, are being transmitted via facsimile, addressed to: Examiner R. Shafer, on February 11, 2004.

Iain A. McIntyre  
Name

  
Signature

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